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Atty Dkt PXE-007.US  
9400-0002  
PATENT

CPA  
Receipt  
#18  
1632  
8/10/02

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6/27/02  
Date

Susan LaMont  
Signature

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TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

ZHANG et al.

Serial No.: 09/464,795

Group Art Unit: 1632

Filing Date: December 16, 1999

Examiner: R. Shukla

Title: NON-INVASIVE EVALUATION OF PHYSIOLOGICAL RESPONSE IN A MAMMAL

### REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please furnish the undersigned with a corrected filing receipt for the above-identified application. The corrections needed are as follows:

Please delete "\*\*\*SMALL ENTITY\*\*"

Attached is a copy of the Filing Receipt with the correction highlighted. Also included is a copy of the Application Transmittal Letter showing that small entity status is no longer claimed.

It is believed that no fee is due. If for any reason a fee is found to be necessary, our Deposit Account No. 18-1648 may be charged therefor.

Respectfully submitted,

Date: 27 June 2002

By: Gary R. Fabian  
Gary R. Fabian, Ph.D.  
Registration No. 33,875

ROBINS & PASTERNAK LLP  
545 Middlefield Road, Suite 180  
Menlo Park, CA 94025  
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JUN 26 2002

**ROBINS & PASTERNAK LLP**

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/464,795	12/16/1999	1632	932	PXE-007.US	1	64	7

9400-0002

Gary R. Fabian  
Robins & Associates  
90 Middlefield Road, Suite 200  
Menlo Park, CA 94301

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CONFIRMATION NO. 8087

CORRECTED FILING RECEIPT



\*0000000008309717\*

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Date Mailed: 06/19/2002

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

NING ZHANG, ALAMEDA, CA;  
PAMELA R. CONTAG, SAN JOSE, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/112,646 12/17/1998  
AND CLAIMS BENEFIT OF 60/152,853 09/08/1999

**Foreign Applications**

If Required, Foreign Filing License Granted 02/07/2000

CPA filed on: 06/11/2002

Projected Publication Date: 09/26/2002

Non-Publication Request: No

Early Publication Request: No

~~\*\* SMALL ENTITY \*\*~~

Title

NON-INVASIVE EVALUATION OF PHYSIOLOGICAL RESPONSE IN A MAMMAL

**DOCKETED** GRF  
9400-0002

Preliminary Class

800

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL  
UNDER 37 CFR 1.53(d)

TECH CENTER 1600/2900

Address to:  
Box CPA  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Atty Dkt No. PXE-007.US

First Named Inventor: Contag et al.

Express Mail Label No. EV 129 762 960 US

Total Pages 2

X "Express Mail" Mailing Label No. EV 129 762 960 US Date of Deposit June 11, 2002  
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box CPA, Washington, D.C. 20231.

PAT HIMENES  
(Typed or Printed Name of Person Mailing Paper or Fee)

Pat Himenes  
(Signature of Person Mailing Paper or Fee)

EV129762960US

This is a Request for a X continuation      divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application serial no. 09/464,795 filed on December 16, 1999, entitled NON-INVASIVE EVALUATION OF PHYSIOLOGICAL RESPONSE IN A MAMMAL. This application was filed before May 29, 2000.

1.      Enter the unentered amendment previously filed on      under 37 CFR 1.116 in the prior nonprovisional application.
2. X A preliminary amendment is enclosed.
3.      This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - a.      DELETE the following inventor(s) named in the prior nonprovisional application:
  - b.      The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4.      A new Power of Attorney or authorization of agent (PTO/SB/81) is enclosed.
5.      Information Disclosure Statement (IDS) is enclosed:
  - a.      PTO-1449
  - b.      References

Total Claims 11 minus 20 = 0	x	\$18.00	\$0
Independent Claims 1 minus 3 = 0	x	\$84.00	\$0
Multiple dependent claims (if applicable)		\$280.00	\$0
		BASIC FEE	\$740
		TOTAL OF ABOVE CALCULATIONS	\$740
Reduction by 1/2 for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).			\$0
		TOTAL	\$740

6.    Small entity status:

a.    Applicants claim small entity status.

b. X is no longer claimed.

7. X The Commissioner is hereby authorized to credit overpayments or charge any additional fees to Deposit Account No. 18-1648.

8. X A check to cover the \$ 740 application fee is enclosed.

9. X Other: return postcard; Declaration of David B. West, Ph.D.; Extension of Time and fee

10. X Correspondence address

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fax: (650) 325-7823.

June 11, 2002  
(date)

Dahna S. Pasternak  
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